



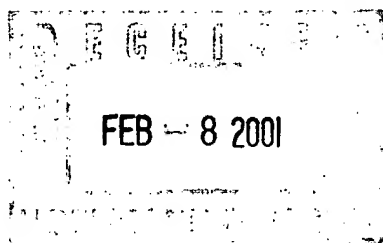
MAR 28 2001

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/745,644	12/22/2000	Kravtsoff	759-13 CON

Irving N. Feit, Esq.  
HOFFMANN & BARON, LLP  
6900 Jericho Turnpike  
Syosset, NY 11791



CONFIRMATION NO. 4038

FORMALITIES LETTER



\*OC000000005736681\*

Date Mailed: 02/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$90.
  - \$90 for 10 total claims over 20.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 510.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

03/29/2001 HNDOR1 00000010 09745644

02 FC:203

355.00 OP

03 FC:203

90.00 OP

00000010 09745644

03/29/2001 HNDOR1

355.00 OP  
65.00 OP  
90.00 OP01 FC:201  
02 FC:205  
03 FC:203

---

*A copy of this notice MUST be returned with the reply.*

*Jelmer*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

SECTOR 3

PATENT



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s)	Kravtsoff, et al.	Examiner:	Unassigned
Serial No.:	09/745,644	Group Art Unit:	1643
Confirmation No.:	4038	Docket:	759-13 CON
Filed:	December 22, 2000	Dated:	March 26, 2001
For:	PARTICULATE COMPLEX FOR ADMINISTERING NUCLEIC ACID INTO A CELL		

**BOX: MISSING PARTS**  
Assistant Commissioner for Patents  
Washington, DC 20231

*I hereby certify this correspondence is being deposited  
with the United States Postal Service as first class mail,  
postpaid in an envelope, addressed to:  
Assistant Commissioner for Patents, Washington, D.C.*

20231 on March 26, 2001

Dated: 3/26/01 Julie L. Watts

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION - FILING DATE GRANTED**

Sir:

In response to the Notice To File Missing Parts Of Nonprovisional Application -  
Filing Date Granted mailed February 6, 2001, enclosed herewith are the following:

1. Copy of Notice To File Missing Parts Of Nonprovisional Application - Filing  
Date Granted;
2. Declaration and Power of Attorney;
3. Surcharge of \$65.00.

The Notice to File Missing Parts of Application inadvertently omitted the basic filing  
fee due which was not enclosed with the filed application. Therefore, Applicants also include  
herein the following:

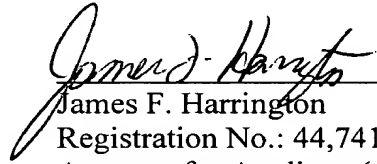
4. A Check in the amount of \$510.00, which includes the basic filing fee (\$355),

additional claim fee (\$90) and the surcharge (\$65).

An Assignment of Invention, Recordation Form Cover Sheet and a check for \$40.00 are being concurrently filed with this Response in separate correspondence.

If any additional fees are due or an overpayment has been made, please charge our Deposit Account No. 08-2461 or credit our Deposit Account for such sum. A duplicate copy of this sheet is enclosed for that purpose.

Respectfully submitted,

  
James F. Harrington  
Registration No.: 44,741  
Attorney for Applicant(s)

HOFFMANN & BARON, LLP  
6900 Jericho Turnpike  
Syosset, New York 11791  
(516) 822-3550  
JFH:jlw

129456\_1.DOC



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	Kravtzoﬀ, et al.	Examiner:	Unassigned
Serial No.:	09/745,644	Group Art Unit:	1643
Confirmation No.:	4038	Docket:	759-13 CON
Filed:	December 22, 2000	Dated:	March 26, 2001
For:	PARTICULATE COMPLEX FOR ADMINISTERING NUCLEIC ACID INTO A CELL		

Assistant Commissioner for Patents  
Washington, DC 20231

*I hereby certify this correspondence is being deposited  
with the United States Postal Service as first class mail,  
postpaid in an envelope, addressed to:  
Assistant Commissioner for Patents, Washington, D.C.*

*20231 on March 26, 2001*

*Dated: 3/26/01*

*Julie L. Watts*

REQUEST FOR RECORDATION OF ASSIGNMENT

Sir:

Enclosed herewith is an Assignment of Invention executed in connection with the above-identified application. Also enclosed is a Recordation Form Cover Sheet and a check to cover the recordation fee of \$40.00. Please record the enclosed documents and return them to the address set forth below once recordation has been completed.

Respectfully submitted,

*James F. Harrington*  
James F. Harrington  
Registration No.: 44,741  
Attorney for Applicant(s)

HOFFMANN & BARON, LLP  
6900 Jericho Turnpike  
Syosset, New York 11791  
(516) 822-3550  
JFH:jlw  
130377\_1.DOC